

Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/913,954 FIRST NAMED APPLICANT Howard Jacobs ATTY. DOCKET NO. 227-142

DOCKETED

Arthur R. Crawford
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CLT/MATTER # 227-142
MAIL DATE 10-17-01
DUE DATE Dec 17, 2001
FINAL DEADLINE May 17, 2002
DOCKETED BY [signature]

INTERNATIONAL APPLICATION NO.

PCT/FI00/00140

I.A. FILING DATE

02/22/2000

PRIORITY DATE

02/22/1999

CONFIRMATION NO. 5957

371 FORMALITIES LETTER



OC00000006903663

Date Mailed: 10/17/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - This application does not contain a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
 - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e).
 - APPLICANT MUST PROVIDE:

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/913,954	PCT/FI00/00140	227-142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC07 Rec'd PCT/PTO 04 DEC 2001

In re Patent Application of:

JACOBS et al.

Appln. No. 09/913,954

Filed: August 21, 2001

For: DIAGNOSTIC AND SCREENING METHOD

Attention: Application Branch

Atty. Dkt. 227-142

Date: December 4, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231The attached completes filing of the above-identified patent application:

- ☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR
☐ Signed Declaration plus attached copy of originally filed specification/drawings.

☒ **NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE U.S.**
DESIGNATED/ELECTED OFFICE (DO/EO/US)

- ☒ Record the attached assignment and return to the undersigned.
☐ Attached is a Power of Attorney.

☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number**Country****Day/Month/Year Filed**

PCT/FI00/00140

22 February 2000

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.
☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.
☒ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.

☒ Also attached: ☐ Information Disclosure Statement; ☒ Nucleotide and/or Amino Acid
Sequence Submission; ☒ Other: PAPER COPY OF SEQUENCE LISTING ALONG WITH A
FLOPPY DISK IN CRF; ABSTRACT OF THE DISCLOSURE; AND FORM PCT/IB/306

Fees due are calculated below:

Basic filing fee				\$	
Total Effective claims	20	- 20 =	0	x \$	18.00
Independent claims	0	- 3 =	0	x \$	84.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)				\$	0.00
				FILING FEE	\$ 0.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)				\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	
English translation of specification and claims (\$130.00)				\$	0.00
				FIRST SUBTOTAL	\$ 0.00
If "small entity," enter half (1/2) of subtotal and subtract				-\$	0.00
				SECOND SUBTOTAL	\$ 0.00
Assignment Recording Fee (\$40.00)				\$	40.00
				TOTAL FEE DUE	\$ 40.00
				Check enclosed (Pre-Bill)*	\$
				Check enclosed (non Pre-Bill)*	\$ 40.00
				TOTAL FEE ENCLOSED	\$ 40.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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NIXON & VANDERHYE P.C.

By Atty: Gary R. Tanigawa, Reg. No. 43,180

Signature: 